



Account Opening Document List (Personal) 開戶文件清單 (個人)	
1. Account opening form for individual 個人開戶申請表	
2. Self-certification form- Entity 自我證明表格-實體	
3. W-8BEN-E or IMY form 非美國居民稅務證明表格	
4. Risk tolerance for clients questionnaire 客戶風險承擔能力評估表格	
5. Client standing authority (Margin AC applicable) 客戶常設授權(保證金帳戶適用)	
6. Certified true copy of ID card or valid passport 有效核證之身分證/ 護照證明副本	
7. Certified true copy of address of the latest 3 months 有效核證之最近 3 個月住址證明	

*** Document List of witness 見證人身份所需文件清單 (Example of Witness: SFC licensed person, Certified Public Accountant , Lawyer) (見證人身份例子: 證監會持牌人, 執業會計師, 律師)	
1. Self-certified ID copy of witness 已簽署之身份證明文件副本	
2. Certified copy of professional qualification documents 已簽署之專業資格證明文件之副本	